

Form PTO-1449 (modified)

Atty. Docket No.

Serial No.

TUUT:010USC1

10/688,152

List of Patents and Publications for Applicant's

Applicant

Rebecca Richards-Kortum *et al.*

INFORMATION DISCLOSURE STATEMENT

Filing Date:

October 17, 2003

Group:

Unknown

(Use several sheets if necessary)

U.S. Patent Documents

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Foreign Patent Documents

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Other Art

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## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
RSS	A1	5,421,339	6-6-95	Ramanujam <i>et al.</i>	600	477	5-12-93
	A2	5,660,181	8-26-97	Ho <i>et al.</i>	600	408	12-12-94
	A3	5,697,373	12-16-97	Richards-Kortum <i>et al.</i>	600	475	3-14-95
	A4	6,174,291	1-16-01	McMahon <i>et al.</i>	600	564	3-9-98
RSS	A5	6,095,982	8-1-00	Richards-Kortum <i>et al.</i>	600	476	12-11-97

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
RSS	C1	Albert and Harris, <i>Multivariate Interpretation of Clinical Laboratory Data</i> . Marcel Dekker, New York, 1987.
	C2	Alfano <i>et al.</i> , "Human breast tissues studied by IR fourier transform Raman spectroscopy," <i>Lasers in Life Sc</i> , 4: 23-28, 1991.
	C3	Alfano <i>et al.</i> , "Optical spectroscopic diagnosis of cancer in normal and breast tissues," <i>J Optic Soc Am B</i> , 6:1015-1023, 1989.
	C4	American Cancer Society, "Cancer Facts and Figures," 12-13, 1995.
	C5	Andersson <i>et al.</i> , "Fluorescence imaging and point measurements of tissue: applications to the demarcation of malignant tumors and atherosclerotic lesions from normal tissue," <i>Photochem Photobiol</i> , 53:807-14, 1991.
RSS	C6	Baraga <i>et al.</i> , "Rapid near-infrared Raman spectroscopy of human tissue with a spectrograph and CCD detector." <i>Appl. Spectr</i> , 46: 187-190, 1992.

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RSS	C7	Barrasso <i>et al.</i> , "Human papilloma viruses and cervical intraepithelial neoplasia: the role of colposcopy," <i>Gynecologic Oncology</i> , 27:197-207, 1987.					
	C8	Barron <i>et al.</i> , "Statistical model of the natural history of cervical carcinoma: II. Estimates of the transition time from dysplasia to carcinoma in situ," <i>JNCI</i> , 45:1025-1030, 1970.					
	C9	Braichotte <i>et al.</i> , "Clinical pharmacokinetic studies of photofrin by fluorescence spectroscopy in the oral cavity, the esophagus and the bronchi," <i>Cancer</i> , 75:2768-2778, 1995.					
	C10	Brookner <i>et al.</i> , "Safety analysis: relative risk of UV-fluorescence spectroscopy and endoscopy are comparable," <i>Photochem Photobiol</i> , 65:1020-1025, 1997.					
	C11	Burke and Ducatman, <i>Colposcopy, text and atlas</i> . Appleton and Large, Norwalk, CT., 1-213, 1991.					
	C12	Cothren <i>et al.</i> , "Gastrointestinal tissue diagnosis by laser induced fluorescence spectroscopy at endoscopy". <i>Gastrointestinal Endoscopy</i> 36:105-111, 1990.					
	C13	Devore, "Probability and Statistics for Engineering and the Sciences". Brooks/Cole, Pacific Grove, 1992.					
	C14	Dillon and Goldstein, <i>Multivariate Analysis: Methods and Applications</i> . John Wiley and Sons, New York, 1984.					
	C15	Fahey <i>et al.</i> , "Meta-analysis of Pap test accuracy," <i>American J Epidemiology</i> , 141:680-689, 1995.					
	C16	Farrell and Patterson, "A diffusion theory model of spatially resolved, steady-state diffus reflectance for the non invasive determination of tissue optical properties in vivo," <i>Medical Physic</i> , 19:879-888, 1992.					
	C17	Glassman <i>et al.</i> , "Ultraviolet excited fluorescence spectra from non-malignant and malignant tissues of the gynecologic tract," <i>Lasers in Life Sciences</i> , 5:49-58, 1992.					
	C18	Gray <i>et al.</i> , "Use of hematoporphyrin derivative in detection and management of cervical cancer," <i>Am J Obst &amp; Gynec</i> , 99:766-770, 1967.					
✓	C19	Hung <i>et al.</i> , "Autofluorescence of normal and malignant bronchial tissue," <i>Lasers in Surgery and Medicine</i> , 11:99-105, 1991.					
RSS	C20	Kapadia <i>et al.</i> , "Laser-induced fluorescence spectroscopy of human colonic mucosa," <i>Gastroenterology</i> , 99:150-157, 1990.					

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	C22	Koss, "The Papanicolaou test for cervical cancer detection: a triumph and a tragedy," <i>JAMA</i> , 261:737-743, 1989.					
	C23	Kurman <i>et al.</i> , "Interim guidelines of management of abnormal cervical cytology," <i>JAMA</i> , 271:1866-1869, 1994.					
	C24	Lam <i>et al.</i> , "Detection and localization of early lung cancer by imaging techniques," <i>Chest</i> , 103:12S-14S, 1993.					
	C25	Lam <i>et al.</i> , "Detection of dysplasia and carcinoma in situ by ratio fluorimetry," <i>Am Rev Respir Dis</i> 146:1458-1461, 1992.					
	C26	Liu <i>et al.</i> , "Raman, fluorescence and time-resolved light scattering as optical diagnostic techniques to separate diseased and normal biomedical media," <i>J Photochemistry and Photobiology</i> , 16:187-209, 1992.					
	C27	Loh <i>et al.</i> , "Oral versus intravenous administration of 5-aminolaevulinic acid for photodynamic therapy," <i>British Journal of Cancer</i> , 68:41-51, 1993.					
	C28	Lohmann <i>et al.</i> , "Fluorescence of the cervix uteri as a marker for dysplasia and invasive carcinoma," <i>European Journal of Obstetrics and Gynecology and Reproductive Biology</i> , 131:249-253, 1989.					
	C29	Mahadevan <i>et al.</i> , "A study of the fluorescence properties of normal and neoplastic human cervical tissue," <i>Lasers Surg Med</i> , 13:647-655, 1993.					
	C30	Marchesini <i>et al.</i> , "Light-induced fluorescence spectroscopy of adenomas, adenocarcinomas and non-neoplastic mucosa in human colon," <i>J Photochemistry and Photobiology</i> , 14:219-230, 1992.					
	C31	Mitchell, "Diagnosis and Treatment of Preinvasive Disease of the Female Lower Genital Tract" <i>The Cancer Bulletin</i> , 42:71-76, 1990.					
✓	C32	Mitchell, "Accuracy of Colposcopy," <i>Clinical Consultations in Obstetrics and Gynecology</i> , 6:70-73, 1994.					
RSS	C33	Montan and Stromblad, "Spectral characterization of brain tumors utilizing laser-induced fluorescence," <i>Lasers in Life Sciences</i> , 1:275-285, 1987.					

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RSS	C34	Ramanujam <i>et al.</i> , "Spectroscopic diagnosis of cervical intraepithelial neoplasia (cin) in vivo using laser induced fluorescence spectra at multiple excitation wavelengths," <i>Lasers Surg Med</i> , 19:63-74, 1996.					
	C35	Ramanujam <i>et al.</i> , "In vivo diagnosis of cervical intraepithelial neoplasia using 337 excitation," <i>PNAS</i> , 91:10193-10197, 1994.					
	C36	Rava <i>et al.</i> , "Early detection of dysplasia in colon and bladder tissue using laser-induced fluorescence," <i>SPIE</i> 1426:68-78, 1991.					
	C37	Reid and Scalzi, "Genital Warts and Cervical Cancer," <i>Am J Obstet Gynecol</i> , 153:611-618, 1985.					
	C38	Reid <i>et al.</i> , "Genital warts and cervical cancer," <i>Am J Obstet Gynecol</i> , 149:815-823, 1984.					
	C39	Richards-Kortum <i>et al.</i> , "Spectroscopic diagnosis of colonic dysplasia," <i>Photochem Photobiol</i> , 53:777-786, 1991.					
	C40	Schomacker <i>et al.</i> , "Ultraviolet laser induced fluorescence of colonic tissue: basic biology and diagnostic potential," <i>Lasers in Surgery and Medicine</i> , 12:63-78, 1992.					
	C41	Schomacker <i>et al.</i> , "Ultraviolet laser-induced fluorescence of colonic polyps," <i>Gastroenterology</i> , 102:1155-1160, 1992.					
	C42	Walpole and Myers, <i>Probability and Statistics for Engineers and Scientists</i> . Decker, New York, 1987.					
	C43	Wilkinson, "Pap smears and screening for cervical neoplasia," <i>Clin Obstet Gynecol</i> , 33:817-825, 1990.					
	C44	Wong <i>et al.</i> , "Infrared spectroscopy of human cervical cells: evidence of extensive structural changes during carcinogenesis," <i>Proc Natl Acad Sci USA</i> , 88:10988-10992, 1991.					
	C45	World Health Organization, Geneva Cytological Screening in the Control of Cervical Cancer: Technical Guidelines, 1988.					
	C46	Wright <i>et al.</i> , in <i>Pathology of the Female Genital Tract</i> (eds. A. Blaustein), 156-326, Springer-Verlag, New York (1994).					
RSS	C47	Yuanlong <i>et al.</i> , "Characteristic autofluorescence for cancer diagnosis and its origin," <i>Lasers in Surgery and Medicine</i> , 7:528-532, 1987.					

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